

DEPARTMENT OF TRANSPORTATION

DES-OE MS #43
1727 30TH Street, 2ND Floor
Sacramento, CA 95816



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August 23, 2002

07-LA-210-R62.1/R64.1
07-0533U4
ACIM-210-1(766)E

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for construction on State highway in LOS ANGELES COUNTY IN AZUSA FROM ZACHARY PADILLA AVENUE OVERCROSSING TO 0.3 KM EAST OF ROUTE 210/39 SEPARATION.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on September 5, 2002.

This addendum is being issued to revise the Project Plans, the Notice to Contractors and Special Provisions, the Proposal and Contract, and the Federal Minimum Wages with Modification Number 11 dated 8-9-02. A copy of the modified wage rates are available for the contractor's use on the Internet Site:

http://www.dot.ca.gov/hq/esc/oe/weekly_ads/addendum_page.html

On Project Plan Sheet 1, the callout "DESIGN SEQUENCE NUMBER 1," is deleted.

Project Plan Sheets 6, 52, 59 and 64 are revised. Half-sized copies of the revised sheets are attached for substitution for the like-numbered sheets.

Project Plan Sheet 94 is replaced. Half-sized copy of the replaced sheet is attached for substitution for the like-numbered sheet.

On Project Plan Sheet 65, under Legend, the "Texture" and "Color" for "A" is revised from "Split Face 2 Sides, Gray" to "Split Face 4-Score 2 Sides, Light Tan" and for "B" from "Split Face 4-Score 2 Sides, Gray" to "Split Face 2 Sides, Light Tan".

In the Special Provisions, Section 1, "SPECIFICATIONS AND PLANS," the following paragraphs are added after the second paragraph:

"The project plans and specifications for this project are not considered to be complete to construct the work anticipated by the contract. Design of the project, including preparation of final project plans, specifications and estimates of quantities, will be completed in sequence after approval of the contract in conformance with the following:

Phase I shall consist of the following work:

Lane widening
Ramp widening
Sound walls and retaining walls, except work related to Zachary Padilla Overcrossing,
First Street Undercrossing and Little Dalton Wash Bridge.

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Complete design of Phase I, including final plans, specifications and estimate of the quantities for Phase I, is included in the project plans, special provisions and Engineer's Estimate for this project.

Phase II shall consist of all work related to Zachary Padilla Overcrossing, First Street Undercrossing and Little Dalton Wash Bridge including but not limited to the following work:

- Retaining Walls
- Sound Walls
- Structural Concrete Bridge, Bridge Footing, and Barrier Slab
- Prestressed Concrete Girders
- Earthwork related to the Structures
- Core, Drill and Bond Dowels
- Bar Reinforcement
- Concrete Barrier

Complete design of Phase II, including final plans, specifications, and estimate of quantities for Phase II will be provided to the Contractor on or before 60 days after approval of contract.

Project plan sheets marked, "Preliminary For Bidding Purposes Only," shall not be considered complete as to the design for any phase. These plan sheets are provided only to show the scope of the work to be performed and shall only be used for the purpose of bid preparation.

Project plan sheets not marked, "Preliminary For Bidding Purposes Only," are complete to the design of Phase I only.

Should the Department fail to provide the complete design, including final plans, specifications, and estimate of quantities for any Design Sequence within the times specified and, in the opinion of the Engineer, the controlling operation or operations are delayed or interfered with by the delay in providing the complete design, the delay will be considered a right of way delay in conformance with the provisions in Section 8-1.09, "Right of Way Delays," of the Standard Specifications. Attention is directed to "Progress Schedule (Critical Path)" of these special provisions.

In the Special Provisions, Section 2-1.03, "ESCROW OF BID DOCUMENTATION," is added as attached.

In the Special Provisions, Section 5-1.11, "PARTNERING," the first paragraph is revised as follows:

"The State will promote the formation of a "Partnering" relationship with the Contractor in order to effectively complete the contract to the benefit of both parties. The purpose of this relationship will be to maintain cooperative communication and mutually resolve conflicts at the lowest responsible management level, and facilitate discussion of Design Sequencing issues, including but not limited to staging of work, sequence of design phases, design solutions to construction issues and performance of additional investigations."

In the Special Provisions, Section 10-1.01, "ORDER OF WORK," the following paragraph is added after the first paragraph:

"Complete design of the various phases of the project will be provided to the Contractor in conformance with the provisions in Section 1, "Specifications and Plans," of these special provisions. Work in any phase of the project shall not begin until the complete design, including final plans, specifications and estimate of quantities, for that phase has been provided to the Contractor by the Engineer."

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In the Special Provisions, Section 10-1.06, "PROGRESS SCHEDULE (CRITICAL PATH METHOD)," the following paragraphs are added:

"DESIGN SEQUENCING

The dates specified in Section 1, "Specifications and Plans," of these special provisions, on which the Contractor will be provided the complete design of each project phase, shall be shown as milestones in the baseline schedule, and in subsequent updated and revised schedules.

At the completion of the design for each project phase, the schedule shall be updated showing the actual date the final plans, specifications and estimate of quantities for that phase were provided to the Contractor."

In the Proposal and Contract, the Engineer's Estimate Items 33, 36, 50, and 65 are revised as attached.

To Proposal and Contract book holders:

Replace pages 4, 5, and 6 of the Engineer's Estimate in the Proposal with the attached revised pages 4, 5, and 6 of the Engineer's Estimate. The revised Engineer's Estimate is to be used in the bid.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the proposal.

Submit bids in the Proposal and Contract book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

This office is sending this addendum by UPS overnight mail to Proposal and Contract book holders to ensure that each receives it.

If you are not a Proposal and Contract book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,

ORIGINAL SIGNED BY

REBECCA D. HARNAGEL, Chief
Office of Plans, Specifications & Estimates
Office Engineer

Attachments

2-1.03 ESCROW OF BID DOCUMENTATION

Bid documentation shall consist of all documentary and calculated information generated by the Contractor in preparation of the bid. The bid documentation shall conform to the requirements in these special provisions, and shall be submitted to the Department and held in escrow for the duration of the contract.

The escrowed bid documents will be the only documents accepted from the Contractor, regarding preparation of the bid.

In signing the proposal, the bidder certifies that the material submitted for escrow constitutes all the documentary information used in preparation of the bid and that he has personally examined the contents of the container and that they are complete.

The bidder shall submit to the Department of Transportation, District 07 Construction Duty Senior, 801 South Grand Avenue Los Angeles, CA 90017-4613 (227) 897-0054, the identification of the bidder's representative authorized to present the bid documentation and the persons responsible for preparing the bidder's estimate before the close of business on the first Monday after bid opening.

Nothing in the bid documentation shall be construed to change or modify the terms or conditions of the contract.

Escrowed bid documentation will not be used for pre-award evaluation of the Contractor's anticipated methods of construction, nor to assess the Contractor's qualifications for performing the work.

Bid documentation shall clearly itemize the Contractor's estimated costs of performing the work. The documentation submitted shall be complete and so detailed as to allow for an in-depth analysis of the Contractor's estimate.

The bid documentation shall include, but not be limited to: quantity takeoffs; rate schedules for the direct costs and the time- and nontime-related indirect costs for labor (by craft), plant and equipment ownership and operation, permanent and expendable materials, insurance and subcontracted work; estimated construction schedules, including sequence and duration and development of production rates; quotations, scoping documents and subcontracts related to subcontractors, manufacturers and suppliers; estimates of field and home office overhead; contingency and margin for each contract item of work; names of the persons responsible for preparing the bidder's estimate, and other reports, calculations, assumptions and information used by the bidder to arrive at the estimate submitted with the proposal.

The Contractor shall also submit bid documentation for each subcontractor, manufacturer and supplier whose total subcontract or purchase orders exceeds or is expected to exceed one-half of one percent of the total bid or \$10,000.00 whichever is greater. Subcontractor, manufacturer and supplier bid documentation shall be enclosed with the Contractor's submittal regardless of whether or not subcontracts or purchase orders have been executed or entered into on the date that bid documentation is submitted for escrow. The examination of subcontractors', manufacturers' and suppliers' bid documentation will be accomplished in the same manner as for the Contractor's bid documentation. If a subcontractor, manufacturer or supplier is replaced, bid documentation for the new subcontractor, manufacturer or supplier shall be submitted for review and escrow before authorization for the substitution will be granted. Upon written request of a subcontractor, manufacturer or supplier, the bid documentation from that subcontractor, manufacturer or supplier shall be reviewed only by the subcontractor, manufacturer or supplier and the Department and shall be placed in a separate container within the Contractor's container. The written request from the subcontractor, manufacturer or supplier shall be included with the bid documentation.

If the bidder is a joint venture, the bid documentation shall include the joint venture agreement, the joint venture estimate comparison and final reconciliation of the joint venture estimate.

Copies of the proposals submitted by the first, second and third low bidders will be provided to the respective bidders for inclusion in the bid documentation to be escrowed.

The first, second, and third apparent low bidders shall present the bid documentation for escrow at the District 07 Office, Construction Duty Senior (227) 897-0054, 801 South Grand Avenue, Los Angeles, CA 90017-4613, on the first Wednesday at 10:00 a.m., following the time indicated in the "Notice to Contractors" for the opening of bids. The fourth and subsequent apparent low bidders shall present the bid documentation for escrow if requested by the Department to do so.

Bid documentation shall be submitted as a paper copy in a sealed container, clearly marked with the bidder's name, date of submittal, project contract number and the words, "Bid Documentation for Escrow."

Failure to submit the actual and complete bid documentation as specified herein within the time specified shall be cause for rejection of the proposal.

Upon submittal, the bid documentation of the apparent low bidder will be examined and inventoried by the duly designated representatives of the Contractor and the Department to ensure that the bid documentation is authentic, legible, and in accordance with the terms of this section "Escrow of Bid Documentation." The examination will not include review of, nor will it constitute approval of, proposed construction methods, estimating assumptions or interpretation of the contract. The examination will not alter any conditions or terms of the contract. The acceptance or rejection by the Department that the submitted bid documents are in compliance with this section "Escrow of Bid Documentation" shall be completed within 48 hours of the time the bid documentation is submitted by the Contractor.

At the completion of the examination, the bid documents will be sealed and jointly deposited at an agreed commercial business.

Bid documentation submitted by the second and third apparent low bidders will be jointly deposited at an agreed commercial businesses. If the apparent low bid is withdrawn or rejected, the bid documentation of the second low bidder will be examined and inventoried in the manner specified above, then sealed and deposited again in escrow. If the second low bid is withdrawn or rejected, the bid documentation of the third low bidder will be examined and inventoried in the manner specified above, then sealed and deposited again in escrow. Bid documentation from subsequent bidders, if requested, will be examined and inventoried in the same manner as specified above, then sealed and deposited in escrow. Upon execution and final approval of the contract or rejection of all bids, the bid documentation will be returned to any remaining unsuccessful bidders.

Any and all components of the escrowed bid documentation may be examined by the designated representatives of both the Department and the Contractor, at any time deemed necessary by either the Department or the Contractor to assist in the negotiation of price adjustments and change orders, or to assist in the potential resolution or in the settlement of claims or disputes. Such a joint review shall be performed within 15 days of receipt of a written request to do so by either party. If the Contractor refuses to participate in the joint examination of any and all components of the escrowed bid documentation as provided herein, such refusal shall be considered as a failure by the Contractor to exhaust administrative claim remedies with respect to the particular protest, notice of potential claim, or claim. In addition, this refusal by the Contractor shall constitute a bar to future arbitration with respect to the protest, potential claim or claim as provided by Section 10240.2 of the California Public Contract Code.

If requested by a Disputes Review Board, the escrowed bid documentation may be utilized to assist the Board in its recommendations.

The bid documentation submitted by the Contractor will be held in escrow until the contract has been completed, the ultimate resolution of all disputes and claims has been achieved and receipt of final payment has been accepted by the Contractor. The escrowed bid documentation will then be released from escrow to the Contractor.

The bid documentation submitted by the bidder is, and shall remain, the property of the bidder, and is subject to only joint review by the Department and the bidder or upon written request of a subcontractor, manufacturer or supplier shall be reviewed only by the subcontractor, supplier or manufacturer and the Department unless it involves a dispute or claim. The Department stipulates and expressly acknowledges that the submitted bid documentation constitutes trade secrets and will not be deemed public records. This acknowledgment is based on the Department's express understanding that the information contained in the bid documentation is not known outside the bidder's business, is known only to a limited extent and only by a limited number of employees of the bidder, is safeguarded while in the bidder's possession, is extremely valuable to the bidder and could be extremely valuable to the bidder's competitors by virtue of it reflecting the bidder's contemplated techniques of construction. The Department acknowledges that the bid documentation includes a compilation of information used in the bidder's business, intended to give the bidder an opportunity to obtain an advantage over competitors who do not know of or use the contents of the documentation. The Department agrees to safeguard the bid documentation, and all information contained therein, against disclosure, including disclosure of subcontractor bid documentation to the Contractor and other subcontractors to the fullest extent permitted by law. However, in the event of arbitration or litigation, the bid documentation shall be subject to discovery, and the Department assumes no responsibility for safeguarding the bid documentation unless the Contractor has obtained an appropriate protective order issued by the arbitrator or the court.

Full compensation for preparing the bid documentation, presenting it for escrow and reviewing it for escrow and upon request of the Engineer shall be considered as included in the contract prices paid for the various items of work, and no additional compensation will be allowed therefore.

The direct cost of depositing the bid documentation in escrow at the agreed commercial business will be paid by the State.

ENGINEER'S ESTIMATE

07-0533U4

Item	Item Code	Item	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	153210	REMOVE CONCRETE	M3	250		
22	024386	REMOVE CONCRETE BLOCK WALL	M	240		
23	153530	ACCESS OPENING, DECK	EA	5		
24	153531	ACCESS OPENING, SOFFIT	EA	16		
25	157561	BRIDGE REMOVAL (PORTION), LOCATION A	LS	LUMP SUM	LUMP SUM	
26	157562	BRIDGE REMOVAL (PORTION), LOCATION B	LS	LUMP SUM	LUMP SUM	
27	159010	RECONSTRUCT METAL RAILING (BRIDGE)	LS	LUMP SUM	LUMP SUM	
28	160101	CLEARING AND GRUBBING	LS	LUMP SUM	LUMP SUM	
29	190101	ROADWAY EXCAVATION	M3	2640		
30	190103	ROADWAY EXCAVATION (TYPE Y) (AERIALY DEPOSITED LEAD)	M3	1070		
31	190110	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	
32	192003	STRUCTURE EXCAVATION (BRIDGE)	M3	230		
33 (F)	192037	STRUCTURE EXCAVATION (RETAINING WALL)	M3	2833		
34	192051	STRUCTURE EXCAVATION (TYPE Y) (AERIALY DEPOSITED LEAD)	M3	1279		
35	193003	STRUCTURE BACKFILL (BRIDGE)	M3	147		
36 (F)	193013	STRUCTURE BACKFILL (RETAINING WALL)	M3	1905		
37 (S)	200001	HIGHWAY PLANTING	LS	LUMP SUM	LUMP SUM	
38	200002	ROADSIDE CLEARING	LS	LUMP SUM	LUMP SUM	
39 (S)	204099	PLANT ESTABLISHMENT WORK	LS	LUMP SUM	LUMP SUM	
40 (S)	208000	IRRIGATION SYSTEM	LS	LUMP SUM	LUMP SUM	

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Item	Item Code	Item	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	208038	NPS 3 SUPPLY LINE (BRIDGE)	M	96		
42 (S)	208909	EXTEND 200 MM CONDUIT	M	40		
43	260301	CLASS 3 AGGREGATE BASE	M3	450		
44	390103	ASPHALT CONCRETE (TYPE B)	TONN	2265		
45	394048	PLACE ASPHALT CONCRETE DIKE (TYPE E)	M	54		
46	394049	PLACE ASPHALT CONCRETE DIKE (TYPE F)	M	110		
47	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	M3	27		
48	510053	STRUCTURAL CONCRETE, BRIDGE	M3	214		
49	510072	STRUCTURAL CONCRETE, BARRIER SLAB	M3	34		
50	510133	CLASS 2 CONCRETE (RETAINING WALL)	M3	1440		
51 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	M3	2		
52	511106	DRILL AND BOND DOWEL	M	294		
53	511125	CLOSE ACCESS, DECK	EA	5		
54 (S)	512230	FURNISH PRECAST PRESTRESSED CONCRETE GIRDER (10 M - 15 M)	EA	1		
55 (S)	512231	FURNISH PRECAST PRESTRESSED CONCRETE GIRDER (15 M - 20 M)	EA	4		
56 (S)	512232	FURNISH PRECAST PRESTRESSED CONCRETE GIRDER (20 M - 25 M)	EA	2		
57 (S)	512233	FURNISH PRECAST PRESTRESSED CONCRETE GIRDER (25 M - 30 M)	EA	1		
58 (S)	512500	ERECT PRECAST PRESTRESSED CONCRETE GIRDER	EA	8		
59 (S)	515074	CORE CONCRETE (101 MM - 150 MM)	M	5		
60 (S)	049084	CORE AND BOND DOWEL	M	570		

ENGINEER'S ESTIMATE

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Item	Item Code	Item	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61 (S)	049085	CORE AND PRESSURE GROUT DOWEL (38 MM)	M	48		
62 (S)	517961	SOUND WALL (BARRIER) (MASONRY BLOCK)	M2	4135		
63 (S-F)	518002	SOUND WALL (MASONRY BLOCK)	M2	4538		
64 (S)	520102	BAR REINFORCING STEEL (BRIDGE)	KG	46 700		
65 (S-F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	KG	85 648		
66 (S)	540101	ASPHALT MEMBRANE WATERPROOFING	M2	10		
67 (S)	550110	COLUMN CASING	KG	10 400		
68 (F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	KG	8096		
69 (S-F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	KG	8096		
70 (S)	561009	920 MM CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)	M	9		
71	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	EA	5		
72	024387	INSTALL ROADSIDE SIGN (METAL)	EA	9		
73	024388	INSTALL SIGN PANEL ON SOUND WALL	EA	2		
74	650075	600 MM REINFORCED CONCRETE PIPE	M	2		
75	727905	MINOR CONCRETE (CHANNEL LINING)	M3	240		
76	731501	MINOR CONCRETE (CURB)	M3	9		
77 (S-F)	750030	INLET FRAME AND GRATE	EA	1		
78 (S)	750501	MISCELLANEOUS METAL (BRIDGE)	KG	190		
79 (S)	802589	1.5 M CHAIN LINK GATE (TYPE CL-1.8)	EA	1		
80	833142	CONCRETE BARRIER (TYPE 26 MODIFIED)	M	23		